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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
PH01-00-04b

In Re Application Of: **Thomas A. Strasser et al.**

Serial No.
10/099,891

Filing Date
3/15/02

Examiner
Unassigned

Group Art Unit
2633

Title: **METHOD AND APPARATUS FOR PROVIDING GAIN EQUALIZATION TO AN OPTICAL SIGNAL IN AN OPTICAL COMMUNICATION SYSTEM**

Address to:
**Assistant Commissioner for Patents
Washington, D.C. 20231**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Docket No.
PH01-00-04b

In Re Application: Thomas A. Strasser et al.

Serial No.

10/099,891

Filing Date

5/5/02

Examiner

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**METHOD AND APPARATUS FOR PROVIDING GAIN EQUALIZATION TO AN OPTICAL
SIGNAL IN AN OPTICAL COMMUNICATION SYSTEM**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
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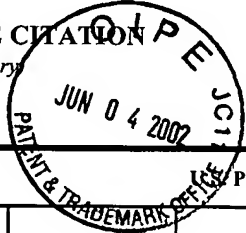
Dated:

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

PH01-00-04b

Application Number

10/099,891

Applicant(s)

Thomas A. Strasser et al.

Filing Date

03/15/02

Group Art Unit

2633

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,583,683	12/10/96	Scobey	359	127	
	2	6,021,237	2/1/00	Kim et al.	385	28	
	3	6,028,689	2/22/00	Michalicek et al.	359	224	
	4	6,151,157	11/21/00	Ball et al.	359	341	
	5	6,212,315	4/3/01	Doerr	385	31	
	6	09/571,833		Wagener et al.			5/16/00
	7	09/691,812		Wagener et al.			10/19/00

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.